Docket No. 242791US68CONT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Tetsuya SUGA, et al.

SERIAL NO: 10/692,684 GAU: 1616

FILED: October 27, 2003 EXAMINER: BRANSON, Daniel L.

FOR: IMMUNE ACTIVATOR

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR

Applicant(s) wish to disclose the following information.

REFERENCES

The applicant(s) wish to make of record the reference(s) listed on the attached form PTO-1449 and/or
accompanying documents from a corresponding foreign application. Copies of the listed reference(s) are attached,
where required, as are either statements of relevancy or any readily available partial or full English translations of
pertinent portions of any non-English language reference(s).
• • • • • • • • • • • • • • • • • • • •

☐ Credit card payment is being made online (if electronically filed), or is attached hereto (if paper filed), in the amount required under 37 CFR §1.17(p).

RELATED CASES

Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be
related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies
of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the
attached PTO form 1449.

Credit card payment is being made online (if electronically filed), or is attached hereto (if paper filed), in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no payment is enclosed herewith, or credit any overpayment to deposit account number <u>15-0030</u>.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSFADT, L.L.P.

Stephen G. Baxter

Registration No. 32,884

Vincent K. Shier, Ph.D. Registration No. 50,552

Customer Number

22850

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 02/10)

SHEET 1 OF 1

Form PTO 1449 U.S. DEPARTMENT OF COMMERCE		ATTY DOCKET NO. 242791US68CONT ADEMARKOTE		SERIAL NO.			
(Modified)	PATENT AND TRADEMARK OFFICE			242791US68CONT PADEMARKO		10/692,684	
				APPLICANT			
LIST OF REFERENCES CITED BY APPLICANT				Tetsuya SUGA, et al.			
				FILING DATE	GROUP		
				October 27, 2003		1616	
· · · · · · · · · · · · · · · · · · ·				U.S. PATENT DOCUMENTS			
EXAMINER		DOCUMENT	DATE	NAME	CLASS	SUB	FILING DATE
INITIAL		NUMBER	DATE	NAME	CLASS	CLASS	IF APPROPRIATE
	AA				<u> </u>		
	AB						
	AC						
	AD						
	ΑE	-					
	AF						
	AG						
	АН					L	
	Al						
	AJ						
	AK						
	AL						
	AM						
	AN						
	l	L	FO	REIGN PATENT DOCUMENTS			
		BOOLINENT				Ι	TRANSI ATION
		DOCUMENT NUMBER	DATE	COUNTRY		YES	TRANSLATION S NO
	AO	NUMBER				YE	
	AO		DATE	COUNTRY		YE	s NO
	AP	NUMBER	DATE	COUNTRY		YE	s NO
	AP AQ	NUMBER	DATE	COUNTRY		YES	s NO
	AP AQ AR	NUMBER	DATE	COUNTRY		YE	s NO
	AP AQ AR AS	NUMBER	DATE	COUNTRY		YE	s NO
	AP AQ AR AS AT	NUMBER	DATE	COUNTRY		YE	s NO
	AP AQ AR AS AT AU	NUMBER	DATE	COUNTRY		YE	s NO
	AP AQ AR AS AT	NUMBER WO0003724A1	DATE 01/27/00	COUNTRY WO (with English abstract)	nt Pages e		s NO
	AP AQ AR AS AT AU	NUMBER WO0003724A1 OTHER RI	DATE 01/27/00	COUNTRY WO (with English abstract)		etc.)	S NO XXX
	AP AQ AR AS AT AU	NUMBER WO0003724A1 OTHER RI	DATE 01/27/00	COUNTRY WO (with English abstract)		etc.)	S NO XXX
	AP AQ AR AS AT AU AV	NUMBER WO0003724A1 OTHER RI	DATE 01/27/00	COUNTRY WO (with English abstract)		etc.)	S NO XXX
	AP AQ AR AS AT AU AV	NUMBER WO0003724A1 OTHER RI	DATE 01/27/00	COUNTRY WO (with English abstract)		etc.)	S NO XXX
	AP AQ AR AS AT AU AV	NUMBER WO0003724A1 OTHER RI	DATE 01/27/00	COUNTRY WO (with English abstract)		etc.)	S NO XXX
	AP AQ AR AS AT AU AV	NUMBER WO0003724A1 OTHER RI	DATE 01/27/00	COUNTRY WO (with English abstract)		etc.)	S NO XXX
	AP AQ AR AS AT AU AV AW	NUMBER WO0003724A1 OTHER RI	DATE 01/27/00	COUNTRY WO (with English abstract)		etc.)	S NO XXX
	AP AQ AR AS AT AU AV AW	NUMBER WO0003724A1 OTHER RI	DATE 01/27/00	COUNTRY WO (with English abstract)	0-2010-70	etc.) 22055, file	S NO XXX
	AP AQ AR AS AT AU AV AW AX	NUMBER WO0003724A1 OTHER RI	DATE 01/27/00	COUNTRY WO (with English abstract)	0-2010-70	etc.) 22055, file	S NO XXX
Examiner	AP AQ AR AS AT AU AV AW AX AY	NUMBER WO0003724A1 OTHER RI Office Action issued S	DATE 01/27/00 EFERENCES September 27,	COUNTRY WO (with English abstract)	0-2010-70	etc.) 22055, file	S NO XXX d April 26, 2002. erences sheet(s) attached